

Proportional Discovery Assessment®	SURVEY INTERVIEW
EVIDENCE: Superior Pharmaceuticals, Inc. vs. MedPharma, Inc.	Anne Exatuation PDA Data Sources Sintap Account
(2) Attern, Anning Licon to (2) Attergiance, Grace (2) Anders, Chris (2) Anders, Michaelin (2) Attergiance, Grace (2) Attergiance, Grace (2) Anders, Chris (2) Attergiance, Grace (2) Attergiance, Grace (2) Attergiance, Grace (2) Barber, Adam	Competences of the survey of t
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9 NT der Annen 9 10 User Share 9 11 Antonk Lossen 9 12 Antonk Lossen 9 13 Moldo Denie 9 14 Paper Doumens 9 15 Sociar Mode 9	 Some questions may have pick lists to choose from. Some questions may require input of a serial number or network drive information. When question is complete, the check mark will turn green. When all questions are completed, click on Complete Survey i upper right corner.
ASSESSMENT PRE-INTERVIEW Assessment can be configured with pre-interv questions designed to validate qualifying infor from the custodian. This example contains 2 qualifying questions: and Position. Once these questions are answered the link to custodian Assessment activates. Dependence The thermal state of the st	Hire date by the solution prove of the solution prove of the solution of the s
	ASSESSMENT INTERVIEW The Assessment consists of a series of questions, custom designed to identify the Custodian's relative knowledge of and involvement in the matter as well as the material of data in their possession. The goal of the Assessment is two fold: Confirm the Retain or Release status of the custodian. Assign a Custodian Rank based on their answers. Each question of the Assessment is scored and contributes to the retain/release recommendation and the overall RANK for the custodian. Evidence Ontix
	Evidence Optix User Support: 888-978-3130 support@insightoptix.com



	NTERVIEW	Proporti	ional Discovery Assessment®
Home >> Evaluation - By Matter >> Interview			
Alannah Cervantes	Relevant Timetrame 1/1/2012 to 12/31/2017	Department Manufacturing Title: Production Manager	
Enter name of Interviewer Interviewer Inte	+ Start Interview Cancel	Add any annotations regarding the Interview as necessary	 INTERVIEW Enter name of Interviewer and any special notes pertaining to the Interview such as date or important findings. Click on Start Interview button.
Home >> Evaluation - By Matter >> Interview			Complete Interview 🗸 Interview Notes 🛱
Alannah Cervantes	Relevant Timeframe 1/1/2012 to 12/31/2017		Department: Manufacturing Tills: Production Manager

	Prev Next	>	Interview Question Complete 🖌	Analysis
1	Hire Date	< ^	Identify the custodian's hire date:	Fotoe-up Reason: Analysis response
2	Positions	~		- if conducted
3	Country of Citizenship	~		
4	Entities	~		Survey Question
5	Predecessor / Successor	~	Please enter the hire date below: 12/10/2019	Please identify your hire date: Answer provided to the question in the Survey.
6	Assistant / Colleague	~		
7	Email	~		
8	Computer Devices	~		Enter date below:
9	NT User Names	~		12/10/2019 5 canswer to Interview
10	User Share	~		
11	Network Locations	~		
12	Portable Storage	~		
13	Mobile Device	~		
14	Paner Documents	5		

INTERVIEW

The Interview is designed to confirm and expand on the Custodian and Data Source information provided by the Custodian in the Survey.

- The Attorney conducting the interview proceeds through each question that needs review, confirming the custodian's answer and expanding on the information provided if needed.
- For instance, if the custodian stated they used a Tablet, but didn't provide any information as to where the relevant materials were located.
- The check marks next to each question turn green when the question is completed.
- When the Interview is complete, click on the Complete Interview link at the top right of the page.

(Alannah Phone Number(s)	Cerva	ntes	Department Manufacturing Ralevant Timeframe Title: Poduction Manager 1/1/2012 to 12/31/2017 Title: Poduction Manager
	Prev Next	>	Interview Question Complete 🧹	Analysis
1	Hire Date	✓ ^	Please identify all computer devices that the custodian used which contain	Interview question
2	Positions	~	unique, relevant content.	۲
3	Country of Citizenship	~		Survey Answers
4	Entities	~		Survey Question
5	Predecessor / Successor	~	Did the custodian use any computer device(s) to maintain unique, relevant content related to their job?	Please identify the types of computer devices you have used to conduct any activities relating to your job:
6	Assistant / Colleague	~	Device Type Device Name	
7	Email	~	Desktop III 245hht Is the relevant content located in a specific location on this device? Yes O No	
8	Computer Devices	× -	Enter location of relevant content (Optional)	Desktop 245hht 5
9	NT User Names	~	My documents	Does this device contain relevant content? - Yes My documents
10	User Share	~	Device Type Device Name	
11	Network Locations	~	Is the relevant content located in a spectral coation on this device? O Yes O No	Tablet actablet Does this device contain relevant content? - Yes
12	Portable Storage	~		Auto-populate survey
13	Mobile Device	~	· · ·	answer option
14	Paper Documents	~	Add additional data s	
15	Social Media	~	necessary,	
16	Collaboration Systems	~		
_			1	Evidence Optix
				User Support: 888-978-3130
				support@insightoptix.com



HOMEPAGE

Superior Pharmaceuticals, Inc. vs. MedPharma, Inc.

Home Evaluation PDA • Data Sources Se

HOMEPAGE

- Not Available

- The site navigation bar provides access to all other sections of the system.
- Directly under the navigation bar is the Matter selector to select the matter you require.
- The center of the page contains the Dashboard Reports including:
 • Custodian Ranks
 - Status of the Evaluation stages
 - PDA Cost estimates for Collection
 - Data Source Collection Status



	ey Stage	Ho	dissued Hold Accept	ed Survey Status	Assessment	Analysis Interv	and Dat	Sand and Sand and Sand Sand Sand Sand Sa
Search by Last Name:								
O Abbott, Andre		۲	•	۲	۲	۲	0	EVALUATION
Alison, Ainsley		۲	•		۲		2	Provides access to the initial Survey and Interview sections of the EO
Allegiance, Grace Cus	stodian	۲	•	۲	۲	۲	0	Workflow.
Armondo, Abraham		۲	•	۲	۲	۲	0	• The colored icons note the status of each step. Clicking them takes
O Ashley, Michelle			• •				5	you to that section. • The Data Sources icon notes the number of Data Sources identified
Baldy, Dwight	Status	۲	• •		۲		2	for each custodian.
Bartlett, David		۲	•	۲	0	۲	0	• The Custodian Rank is the Rank assigned to the Custodian based on
Bartlett, Jeannerose		۲	• •				4	the Assessment Interview.
Batista, Mario		۲	•		۲	۲	2	• The Custodian Report provides a brief fact sheet on each custodian including, address, job title and status of each Evaluation stage.
Beschly, Mark J.		۲	•		۲	۲	2	inoldening, address, job tale and status of each Evaluation stage.

PROPORTIONAL DISCOVERY ASSESSMENT (PDA) HEATMAP



PROPORTIONAL DISCOVERY ASSESSMENT

Presents a clear picture of the priorities for Custodian Data Sources collection. • The X axis represents the Data Source Burden Rank.

- The Y axis represents the Custodian Rank.
- Reading from top to bottom, left to right, the custodians in the far left, top quadrant are the highest priority and their Data Sources are the least burdensome. In the example, there are 6 custodians and 16 Data Sources in that quadrant.
- The icons to the left/right represent all of the custodians and Data Sources in that quadrant (highest, high priority/low or medium burden).



Click on the quadrants on the Heatmap and drill down for additional data on the Custodians and Data Sources in that quadrant.

- 1. A chart depicting the Collection Status by Data Source type.
- 2. A map identifying each state where Data Sources are located.
- A bar graph showing the overall collection costs broken out by Approved or Not Approved Data Sources.
- 4. A complete list of all the Custodian Data Sources, their Rankings and their locations.

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Norther Strated Under Landow Oxfolder Rafe Oxfolder Raf		Dashboard	Custodia	n Status Da	ta Source Status	Scenario Approv	al	Approved List								╺╻╲⊿
Bernh.	ssign Status						Include Non-Custo	odial Custodians					57.	· <u>V</u>		
A Anne, Arieng A - Priority Lease and have and here Rank Lists Custodians A Artery, Market A - Priority Research and Development Lists Custodians B Artery, Market A - Priority Research and Development Lists Custodians B Bertell, Jeanness A - Priority Research and Development Research Andrews B Bertell, Jeanness A - Priority Research and Development Industry Lists A B Bertell, Jeanness A - Priority Research and Development Industry Lists A B Bertell, Jeanness A - Priority Research and Development Industry Lists A B Bertell, Jeanness A - Priority Research and Development Industry Lists A B Bertell, Jeanness A - Priority Research and Development Industry Lists A B Bertell, Jeanness A - Priority Research and Development Industry Lists A B Bertell, Jeanness A - Priority Research and Development Industry Lists A B Bertell, Jeanness A - Priority Research and Development Industry Lists A B Bertell, Jeanness A - Priority Research and Development Industry Lists A B Bertell, Jeanness A - Priority Research and Development Industry Lists A B Bertell, Jeanness A - Priority Research and Development Industry Lists A B Bertell, Jeanness A - Priority Research and Development Industry Lists A B Bertell, Jeanness A - Priority Research and Development Industry Lists A B Bertell, Jeanness A - Priority Research and Development Industry Lists A B Bertell, Jeanness A - Priority Research and Development Industry Lists A B Bertell, Jeanness A - Priority Research and Development Industry Lists A B Bertell, Jeanness A - Priority Research and Development Industry Lists A B Bertell, Jeanness A - Priority Lists A	Custodian Name 🛦	Custodian Rank	Department	Job Title	City	State	Countr	ry	Custodian Sta	tus				😿 🗖		
 A here, Markai B herder, Lawnerska Low B askai Tentory Managar Lak Angess Corporate A here, Markai B herder, Lawnerska I. Low B askai Tentory Managar Lak Angess Corporate A here, Markai B herder, Lawnerska B herder, Lawnerska B herder, Lawnerska B herder, Markai B	Search	Search	Search	Search	Search	Search	Sean	oh	Search							
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Bit Northold Consider Consider Charles Name	Bartlett, Jeannerose	1 - Low	Sales	Territory Manager	Los Ang	eles CA			Inactive	~						
Bit Northold Consider Consider Charles Name	Batista, Mario	3 - High	General Counsel	Patent Attorney	Chicago	IL.	United	d States	Active			(CUS	TODIA	N - DATA	SOURCE
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CUSTODIAN -DATA SOURCE STATUS

lect or Add Scenario

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001-001 Defe

1 - Low Effor

3 - High Effort

SCENARIO APPROVAL

Negotiate

• Step 1: Create and name a scenario

• Approve Data Sources for Collection.

Scenarios are created on the Data Source Status page.

• View the Scenario on the Scenario Approval page. • Examine the low, medium, high estimated costs of each Data Source and the costs for the complete Scenario.

• Step 2: Identify the Data Sources you wish to include in the Scenario. Ensure they have a proper Status to be

Email

Emai

Comp

Mobile Device

included.

Network Shar

Review the Ranking of the Custodians and Data Sources and assign a Status.

- The Status determines the movement of the Custodian and their Data Sources in the EO workflow.
- A Custodian must have an "Active" status in order for their Data Sources to be eligible for Collection.
- A Data Source must have a status of "Collect" to be Approved for Collection.
- · Create Scenarios of different groupings of Data Sources to examine overall estimated costs.

.....

Add a new Scenario

Create a new or select existing Scenario

List of existing Scenarios

	Patent Paralegal	Los Angeles	CA	Ao assig	ned Rank	-	1 - Low Effort
	Patent Paralegal	Los Angeles	CA	Active	2018-1-0182	Network Share	1 - Low Effort
1							
oh and pment	Clinical Research Director	Dallas	ТΧ	Active	2018-1-0103	Empli	Effort
ch and oment	Clinical Research Director	Dallas	ТΧ	Active	2018-1-0164	∞ Assign a Stat	
ch and pment	Clinical Research Director	Dallas	ТΧ	Active	2018-1-0185	the Data Sou	Effort
oh and pment	Clinical Research Director	Dallas	ТΧ	Active	2018-1-0100	Paper Document	2 - Medium Effort
ch and oment	Clinical Research Director	Dallas	TΧ	Active	2018-1-0167	Network Share	1 - Low Effort
tion	Executive Assistant	New York	NY	Active	2018-1-0188	Email	1 - Low Effort
tion	Executive Assistant	New York	NY	Active	2018-1-0237	Social Media	4 - Burdensome Effort
	Territory Manager	Los Angeles	CA	Inactive	2018-1-0170	Email	1 - Low Effort
	Territory Manager	Los Angeles	CA	Inactive	2018-1-0171	Network Share	1 - Low Effort
	Territory Manager	Los Angeles	CA	Inactive	2018-1-0172	Social Media	4 - Burdensome Effort
	Territory Manager	Los Angeles	CA	Inactive	2018-1-0233	Collaboration Systems	3 - High Effort
l Counsel	Patent Attorney	Chicago	IL.	Active	2018-1-0173	Email	1 - Low Effort



Approve Data Sources For Collection

Step 1

SCENARIO APPROVAL

	001	-001 Def	endant - Initial	Assessment <		Scenario	J			6	R3 (
	Custod	lian Name 🛦	Custodian Rank	Custodian Status	Item #	Data Source Category	Data Source Rank	Data Source Status	Low	Medium	High	
8	Alison,	Ainsley	4 - Priority	Active	2018-1-0162	Network Share	1 - Low Effort	Preserve in Place	\$7.100	\$11,500	\$15,900	
8	Ashley	Michelle	4 - Priority	Active	2018-1-0163	Email	1 - Low Effort	Collect	\$8,000	\$13,000	\$18,000	
8	Ashley	Michelle	4 - Priority	Active	2018-1-0164	Computer	1 - Low Effort	Estimated cost of collecting each	\$15,500	\$25,500	\$35,500	
8	Batista	, Mario	3 - High	Active	2018-1-0173	Email	1 - Low Effort	Data Source	\$7,500	\$12,500	\$17,500	
8	Borilias	s, Maria	4 - Priority	Active	2018-1-0177	Email	1 - Low Effort	Collect	\$7,500	\$12,500	\$17,500	
8	Callam	exo, Christine	4 - Priority	Active	2018-1-0180	Email	1 - Low Effort	Collect	\$8,000	\$13,000	\$18,000	
8	DeLeo	n, Angel	3 - High	Active	2018-1-0182	Email	1 - Low Effort	Collect	\$7,500	\$12,500	\$17,500	
8	Eavers	, Erica	3 - High	To Be Reviewed	2018-1-0186	Email	1 - Low Effort	Cost Shift	\$8,000	\$13,000	\$18,000	
8	Gilbert	s, Jean	2 - Medium	To Be Reviewed	2018-1-0189	Email	1 - Low Effort	Collect	\$8,000	\$13,000	\$18,000	
8	Mend		n Data Sources ustodians in	Active	2018-1-0203	Email		costs for collecting Data Sources in	\$8,000	\$13,000	\$18,000	
8	Meye		icenario	Active	2018-1-0205	Email	1 - Low E	Scenario	\$7,500	\$12,500	\$17.500	
8	Meyers	son, Rose	3 - High	Active	2018-1-0206	Network Share	1 - Low Effort	Preserve in Place	\$8,600	\$11,000	\$15,400	
	Dat	a Sources	% of Tota	I Custodians	% of Total D	ata Sources		All	\$99,200		\$226,800	1
		12	1	8%	17	%		Approved	\$38,500 \$60,700	\$63,500 \$99,500	\$88,500 \$138,300	

APPROVED LIST

Dashboard Custodian Status Data Source Status Scenario Approval APPROVED LIST Approved Data Sources Identifies the Data Sources Scenario Name Custodian Name Custo Item # Data Source Category Data Source Rank Data Source Status Low Med High that have been approved for Scenario Collection and their 📄 001-001 Defendant - Initial Assessment 🤞 estimated costs. 4 - Priority 1 - Low Effort Collect 001-001 Defendant - Initial Assessment Ashley, Michelle 2018-1-0164 \$15,500 \$25,500 \$35,500 Active 001-001 Defendant - Initial Assessment Batista, Mario 2018-1-0173 Collect 3 - High Active Emai 1 - Low Effort \$7,500 \$12,500 \$17,500 **Evidence Optix** User Support: 888-978-3130

support@insightoptix.com